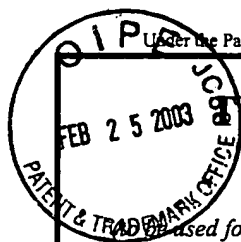


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PTO/SB/021 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032  
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## TRANSMITTAL FORM

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Application Number	09/802,701	
	Filing Date	March 9, 2001
	First Named Inventor	David GREENE et al.
	Group Art Unit	2161
	Examiner Name	Not Known Yet
Attorney Docket Number	1991-00100	
Total Number of Pages in This Submission		

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment (for an application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Form PTO-1449; Six (6) Cited References; and Acknowledgement Postcard
Remarks		

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

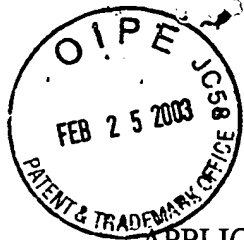
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Signature	<i>Michael F. Heim</i>
Date	FEBRUARY 21, 2003

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: FEBRUARY 21, 2003.			
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5

APPLICANT:	David GREENE et al.	§	GROUP ART UNIT:
SERIAL NO.:	09/802,701	§	2161
FILED:	March 9, 2001	§	
FOR:	Online Trading system	§	EXAMINER:
	Having Real-time Account	§	Not Known Yet
	Opening	§	

INFORMATION DISCLOSURE STATEMENT

Atty. Dkt. No.: 1991-00100

Date: February 21, 2003

Commissioner for Patents  
Washington, D. C. 20231

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This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware. A copy of each publication listed on Form PTO-1449 is enclosed herewith.

The submission of this Information Disclosure Statement and the references submitted therewith is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

No Office Action on the merits has been received in the present application.

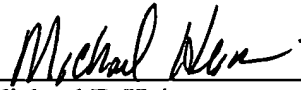
Nonetheless, in the event that an Office Action dated prior to the mailing date of this Information

02/28/2003 HVUONG1 00000014 032769 09802701

01 FC:1806 180.00 CH

Disclosure Statement has been issued, please charge Deposit Account 03-2769, Conley Rose, P.C., in the amount of \$180, so that this Information Disclosure Statement may be considered under Rule 1.97(c).

Respectfully submitted,



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ATTORNEY FOR APPLICANT(S)